**Self-accelerating Processing Workflows**

**GPU vs CPU with insights to optimize**

***- A framework that predicts the optimal platform for a computation* -**

Progress Report

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# **Introduction**

Effective computational speed can be increased by assigning computations to their optimal processors. In this research we are considering CPU and GPU. The GPU was originally invented for graphical processing where immense parallel and correlated computations are very common. Later, computer scientists recognized that the GPU was good at other general-purpose programming involving parallel computations; the GPGPU evolved. Though GPUs show higher performance for parallel data streams, CPUs can complete some of the tasks in less time up to some certain limits, called benchmarks. Because of two bottlenecks in GPUs that are high data transfer time between host and device and high context switching time which is around 10 times that of CPU. The benchmarks vary with hardware of the system being experimented and also depend on the availability of the GPU and CPU resources. CPU and GPU specific problems are eliminated from this research. The main objective of this research is to find out a solution, a library of functions that determines the platform (CPU or GPU) on which a given specified problem has less execution time. It would make the effective computing speed faster.

This concept has been adopted from and motivated by the branch prediction concept where a CPU determines in advance, the right branch within program lines that is more likely to be taken. The library is targeted for developers and expected to provide a way to do the platform selection automatically based on attributes related to the computations and present utilization of the platforms. Developers have to write code for both CPU and the GPU but do not have to worry which is the execution-time optimal platform. It will be very useful in a heterogeneous environment and this concept might be extensible for other platforms, FPGA (Field Programmable Gate Array) and TPU (Tensor Processing Unit). Developers need to feed the functions that he used from the library with involved attribute values of the computations. For example, array size, dimension etc. There were several research projects conducted regarding optimizing the performance of heterogeneous systems. None of them has considered present workloads in the platforms but some operating system scheduling tasks related research projects do.

# **Problem Statement and Motivation**

***2.1. Problem Statement***

A particular task can be done by both CPU or GPU. But the execution time may vary depending on many factors. For some tasks GPU would efficiently save time and for some CPU would. Tasks cannot be pre-classified to determine whether they are efficient to run on GPU or CPU. Depending on the current factors of CPU and GPU it will vary in run time.

A CPU can outperform a GPU up to some limits, called benchmarks for some computations that can be executed in both CPU and GPU. Therefore, a right choice reduces the overall execution times of the computations. However, the benchmarks vary with the present workloads on the CPU and GPU and are not pre-determinable. Hence the optimal platform cannot be identified during the programming period. It is currently required to switch applications written specifically for CPU and GPU for optimization. Either way, it increases the overall execution time since practical applications consist of mixed kinds of computations.

***2.2. Motivation***

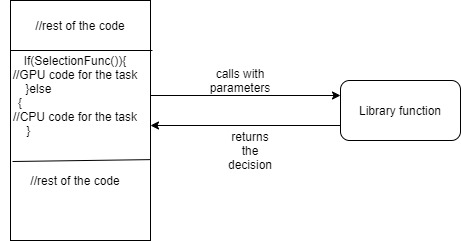
Effective computational speed can be increased by assigning computations to their optimal processors. It might also prevent starvation in some instances. The branch predictor in CPU hardware was another successful implementation being a motivation for this research. A library of functions evaluating related computations with some characteristics values of the computation and determining a more suitable processor type based on present workloads would help to improve the overall performance of a system.

There are two types of scenarios we are interested in here, batch processing and real time processing. The batch processing has high throughput which execute millions of data points. On the other hand, the real time processing has low latency which deals with thousands of data points for a second. A single data point can result in from 25 – 100 GPU kernel launches. Inappropriate scheduling of computations into wrong processors are inefficient and time consuming. If we could prevent such events from happening, the latency is reduced, the effective speed of the system is boosted and the time savings we could achieve is immense.

For real time, the high latency means growth of pending data to be processed and the incoming rate can not be catched up which might result in total failure of a system depending how critical the real time processing is. For example, the financial Risk management system at LSEG Technology and there are more which are getting such advantages of GPU if used and are similar in nature. Therefore, the same solution to yield the time benefits is usable in all such systems.

# **Research Objectives and Outcomes**

A library of functions for different computational kinds that determine whether the kinds of which weights are defined should better be executed in GPU or CPU to reduce execution time is the primary objective of this research. The functions make decisions using some benchmarks that are updated periodically. The decisions are also expected to be based on the workloads present in the processors. So, the library is independent of hardware specifications. The library must provide certain gain, reduction in overall execution time over the cost of the decision making process and data transfer time in between host and the device.

*Figure 3.1 - Architectural diagram large in view*

The above figure illustrates the solution model of the project. Our main objectives are,

* Discovering parallel computational models that may provide gain if they are executed in a CPU below some benchmarks and compose them into functions.
* Finding out the benchmarks for each of the computations with their relative performance levels of the system on which the benchmarks are measured.
* A library implementation in C++ for presenting the solution and each function contained in the library would return a boolean value representing the processor selection decision.
* A programmer will use the library if his use case exists in the library.
* Only a few computational models are experimented and measured in this research to reduce its scope and complexity.

# **Literature Review**

***4.1. Introduction***

A literature review allows one to gain and demonstrate skills in both information seeking and critical appraisal. It also helps to generate a hypothetical analysis of the outcome of a research. The purpose of this section is to fill the knowledge gap on parallel programming techniques, GPU programming and review the previous art related to the research. The paper [13] argues for the use of a hybrid analytical performance modelling approach is a practical way to build fast and efficient methods to select an appropriate target for a given computation kernel. This research focuses on the issue of building a selector to decide a parallel loop nest should be executed in a CPU or in a GPU. This paper makes progress toward addressing the following research problem: How to construct runtime target device selection heuristics, what are the biggest challenges involved, and how to make such heuristics suitable for production environments?.The ability to automatically choose the processing unit which will execute a given section of code can result in a critical performance advantage. Existing analytical models strive to capture the complexity of the architectures that they are modelling, and the interplay between the levels of abstraction used to represent said architectures.

The main designing goal of any processor is to achieve higher performance in computing; especially to increase the throughput and reduce the latency. The execution time may be more important than the resources being used for some critical and complex problems, and some business applications. A CPU consists of a few cores while a GPU consists of a few hundred to thousands of cores. Also, the GPU has access to a huge array of memory which enhances parallel computing. The parallel computing had a trend and will be the future of computing since the speed of a CPU cannot be increased any more as the number of transistors per square inch is bounded as per Moore’s law. The GPUs are used for general purposes (GPGPU) computing such as grid computing, machine learning, data mining, cryptography (neural networks), bioanalysis molecular dynamics. As authors of the paper “CPU - GPU Processing” [1] states, GPGPU vector processing is not the solution to everything and CPUs still do much better than the GPU for certain problems.

The CUDA by NVidia, DirectCompute by Microsoft, OpenCL by Apple/Khronos and OpenGL or DirectX are some popular architectures which enable GPGPU pipelines without the need for data conversions. Floating-point computation was impossible on a GPU but adopted over time for GPGPU and high precision graphics processing [1]. It is possible to dynamically execute a problem on a GPU since kernels are loaded out of the device memory. So, the programming Interface allows to allocate, deallocate and copy data from host to device and vice versa in runtime. The allocation of the device memory can be either merely linear or structured objects such as CUDA arrays. A device memory would have at least 40-bit address space for both linear allocation, and to store references of objects or pointers to the references [8].

***4.2. CPU vs GPU***

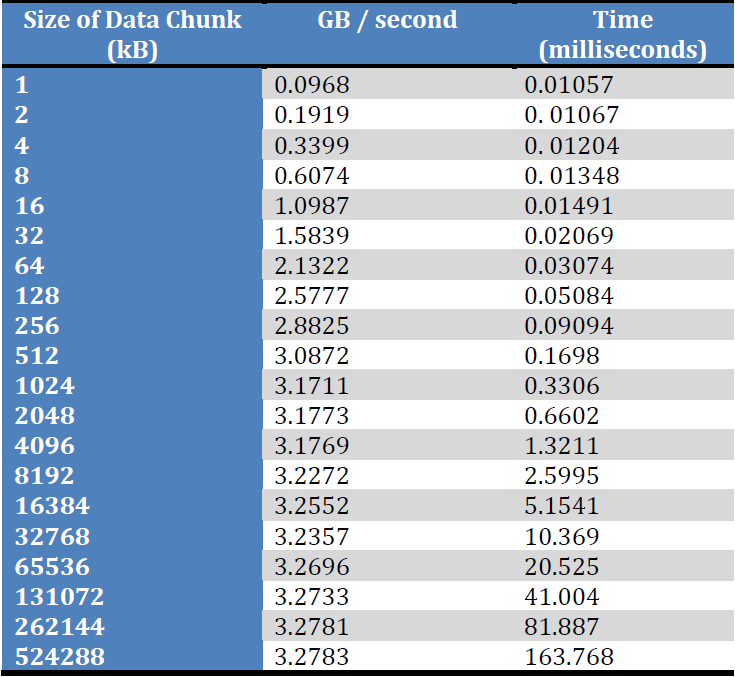
CPUs often have not more than a few tenths of cores and each core accepts an independent instruction set. A host can have one or more CPUs but general hosts are built with single CPUs. The CPUs were single-precision (32-bit) previously, but all modern CPUs support double precision(64-bits) to do lengthy computations. CPUs’ cores have few more functionalities from GPUs’ cores. It means that a CPU is capable of doing some operations that a GPU cannot do. Although the CPU has a less context switching time, the throughput of a CPU is limited to the number of cores in CPU as the frequency of a core is limited as per Moore’s law. Therefore, CPUs are not efficient for huge growing datasets and data science applications since computations involved in such applications are similar but take more time if executed serially. Author of the paper [12] suggests a few optimizations for the CPU to improve performance. They are SIMD purpose reorganization of memory access, multithreading and cache blocking.

The GPUs were originally invented for graphics processing purposes. Therefore traditional GPUs were limited to 24-bit precision because 24-bits were enough to represent the colour of each pixel. They were good at processing similar operations in bulk over a huge amount of data. In the traditional GPUs, multi-instruction emulation of sequences was required for integer arithmetic that are longer than 24-bits [5]. The limitation of serial computing and emergence of parallel computation needs over a large dataset leads to the GPGPU and a new revolutionized GPU which supports 32-bit and 64-bit precisions [5].

Modern GPUs are capable of doing almost all the operations that a CPU can do. A GPU is essentially an array of processors called streaming multiprocessors (SMX). SMXs share a global memory space in the GPU which we generally called the capacity of the GPUs [11], [5]. Each SMX consists of several computation units called cores and can execute at least one kernel over the threads to be executed in the units. It reflects the single instruction multiple data (SIMD) concepts. A SM is scheduled with one or more threat blocks by a hardware scheduler but a threat block can only be scheduled to an SMX [5], [7]. A thread block may consist of thousands of threats, but only any set of threats counts fits the SMX cores (warps are defined as per code) can only be executed at once in an SMX [8].

The threads are organized into thread blocks and grids of a thread block either by the programmer manually or the compiler using predefined rules [5]. Also, each thread block has 16 kilobytes of register memory. The CUDA compiler will automatically utilize the local memory if the limit is exceeded [9]. The computability of a GPU is limited to its bandwidth since it is connected to the host via PCI-Express, meaning that the memory transfer time between the host and the device is significant. Therefore this research needs to consider the memory transfer time to ensure that there is time benefits from the decisions of the functions.Therefore, the arithmetic intensity is used to measure the suitability of a problem to a GPU. The device can also directly access the main memory of the host which reduces the data transfer overhead, but very slow and a rare case scenario [8]. GPUs provide more bandwidth for larger files in general [11]. Also, a computation may not perfectly fit within the hardware implementation of a GPU. Therefore the relationship between the execution time and the size of the computation is not linear because of the varying data rate, segmentation and swapping and pagination overhead. The following table shows how the transfer time changes with the size of the data in a GeForce GTX460 GPU,

Though, this research is independent of hardware specifications and based on the outcomes of the experiments conducted on a computer system that has a GPU device without concerning hardware implementations of the system. However, underlying hardware may affect the relationship between the static and dynamic benchmarks, and it becomes non linear. A GPU is utilized with 100 percent efficiency only when all the threats in a warp are following a kernel throughout. The Fermi GPU is built with Four SFU (Special Function Units) per SM. If computation needs SFU, it would consume more clock cycles. A warp (32 threats) could complete SFU computations over eight clocks [5]. These issues are eliminated by implementing separate specific functions for different computations kinds.

**

*Figure 4.1 - Host to device data transfer overhead with file sizes [11]*

The context switching time of a CPU is around 10 times smaller than a GPU. So, a program that consists of more serial code is a counterpart to a GPU as it often requires context switching [7]. In practice, some applications can only be executed on a multi-core CPU and some can be on a GPU [7]. But computations that are specific to either CPU or GPU are out of the scope to this research.

In order to get benefits out of accelerators such as GPUs, one has to find computationally expensive (calculation dominated) parts of the program which can run independently and separate them into so-called kernels. These kernels are then executed by the GPU. This process is not always possible. Some programs have very little computation and a lot of copying memory around. These applications are bandwidth dominated (data dominated) and will not perform well on external accelerators compared to the CPU [3]. This research does not focus on, which computations best fit either for CPU or the GPU. Rather focuses on computations that have boundary points where GPU becomes more suitable when their size or properties exceed some limits, called the benchmarks.

A system composed of computing units, with different characteristics and strategies for data processing is usually called a hybrid system (H-system) due to the presence of heterogeneous computing units [4]. Often, the decisions whether a problem to be executed on which computing unit are hard-coded by programmers based on their applications. This is resulting in inappropriate or inefficient scheduling of jobs and processes and to an unoptimized use of hardware resources.

# **Proposed Solution**

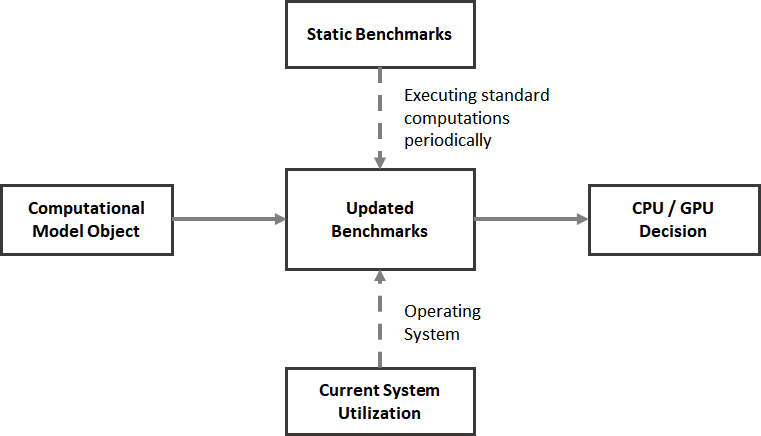


Figure 5.1: High-level Architecture

***5.1. Introduction***

As explained in section 3, research objectives and outcomes, our proposed solution is a library of functions evaluating computational models. A few models that would give us gain in CPUs execution time over GPUs are experimented in this research. The computations are packed into functions in the library. The functions accept attributes that influence the execution time as arguments. The optimal processor decision-making process is based on benchmarks that are revised from some performantly stored values. This makes the decision process more efficient. It also accounts the utilization of the system every time when decisions are made.

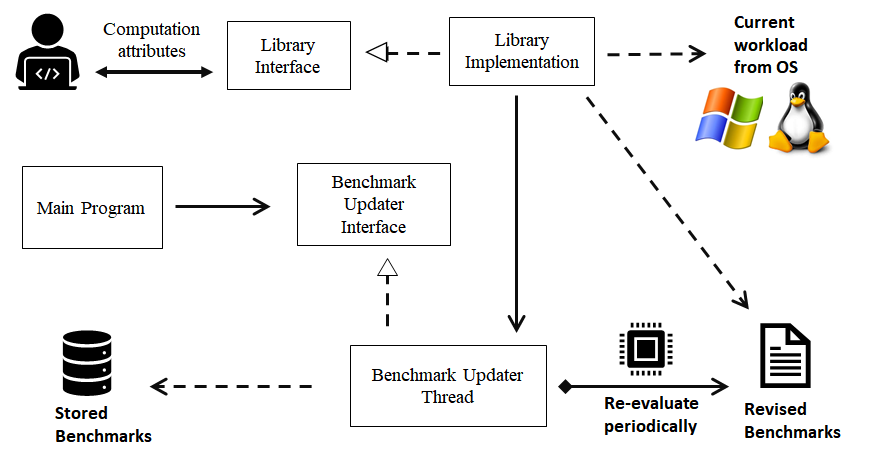
***5.2. Operational Mechanisms***

Benchmarks of each function where the CPU execution times exceeds the GPU execution time are stored in permanent storage along the execution time of a small computation as a standard. The benchmarks are static and will not be used for the decision making directly. A benchmark updater thread is running to periodically revise the static benchmarks based on the new execution time of the small standard computation of each function. The revised benchmarks are called dynamic benchmarks and either they will not be used for the decision making process. Each function in the library determines the optimal processor by using the related dynamic benchmarks and the present workload in the system gathered using c++ system libraries. This makes the library independent of hardware and present workload balance in the system. It will ensure a gain, reduction in overall execution time over the data transfer time in between host and the device.

The relationship between the static and dynamic benchmarks are not known and have not been analysed well when preparing this progress report. It is necessary to do more experiments in various hardware systems to come to a conclusion regarding the relationships. We call it an alpha relationship. Also, the immediate accounting of the present performance of the system with the data retrieved from the operating system is named as beta-relationship. Effective benchmarks are functions of the alpha, beta and the static benchmarks stored permanently.

***5.3. Implementation and Usage***

The implementation of the library and benchmarks updater program is implemented in the C++ programming language. Developers can include the library into his project(s) and call appropriate functions, giving related properties as arguments. The functions would return a boolean, true if the processor decision was to the GPU or false if it was the CPU. The functions are probably enclosed within an if-else block where the blocks contain the codes utilizing the CPU and the GPU respectively. The following figure shows the block diagram design of the solution.



*Figure 5.2: Class Diagram*

# **Research Methodology**

This will essentially be an experimental methodology since the research is based on the results, the benchmarks that are measured in some computers. Execution time data will be measured for each computational model by changing the attributes of the model for both CPU and the GPU. The benchmarks where GPU outperforms CPU could be determined with the data. CPU and GPU execution times of a small standard of the computation are stored along with the benchmarks. Hence, the benchmarks are relative to the standard values not to the hardware architecture.

Methodology is designed as a 5 step process. They are,

1. Selection of functions
2. Identifying the features influencing the functions
3. Implementing the automation codes for testing
4. Establishing the relationship
5. Implementing the framework

***6.1. Selection of functions***

This step is used to select the functions that we are going to perform the research on. By functions, we mean the different mathematical models and mathematical functions that heavily rely on GPU for computing. These functions are used to undertake the research.

***6.2. Identifying the features influencing the functions***

In this section we will be extracting the features(factors) influencing the mathematical functions. In extracting features, we involve in the process of identifying the attributes that affect the performance of CPU and GPU. We can extract the features through experiment, and tracking research papers and other documents. For example, the array size and dimension of an array [10]. Mostly we will try to find software-related aspects.

***6.3. Implementing the automation code for testing***

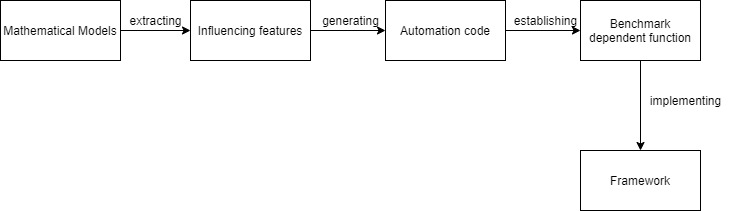
This section is the implementation section where we automate the selected functions based on their identified features to undertake control experiments. By the experiments, we meant to implement GPU and CPU implementation of the functions and executing the relevant code on the relevant platforms by controlling the selected features. This process of experiment is automated such that we only need to input the controlled features. As the output, we will be receiving the time taken to execute the code on the relevant platform.

***6.4. Establishing the relationship***

We will try to make all aspects fixed except the one we are testing on. Then execute the code on both CPU and GPU separately by changing the independent variable. The graph will be drawn with an independent feature on x-axis and time taken to execute on the y-axis. The results will be used to establish benchmarks in functions. For graphical analysis, we have planned to use Matlab. The above mentioned benchmark is not static. It will be subjected to change based on the machines it is being executed.

***6.5. Implementing the framework***

The final and important step in the process. In this step, we decide how to make a function toggle between the selection of the appropriate platform for the execution of the code. That is the way of formation of logic behind the functions in our library. We have decided to use statistical results to some extent. The features and results obtained from the above steps will be used. The appropriate platform will be selected on run time based on the relationship that is obtained by executing the inbuilt function on the particular machine. The library is planned to be written in c++.



# **Present Outcomes**

Our experiment goal was to find out benchmarks for the functions discovered. There were three functions implemented for three specific computational models that may reduce execution time when executing on CPU up to some limits. Only single parameter computational models were chosen for the experiment to narrow the scope and the experiment time. For each function, enactment time was measured on both CPU and the GPU for different parameter values that were identified as influencing the time. With the data, the benchmark values could be determined. The benchmarks were stored along the execution time of the standard computation, possibly the least value of the parameter experimented.

Though C++ codes were there, it was hard to initialize random arrays of variable length and dimension as per our need quickly in C++. Therefore we have used Pycuda which made the job easy and also the time measurements for the CPU and GPU could be measured with better precision and in the same method so they were comparable. Pycuda accepts Cuda kernel codes written by programmers. Thus, the kernels had to be defined externally ourselves. Though the Pycuda facilitates the compiling and the data transfer between the host and the device itself. Therefore the elapsed time measured had included the data transfer time between the host and the device. Though, we will measure the final benchmarks to be embedded with the library in the C++ itself. Related code implementations have already been created.

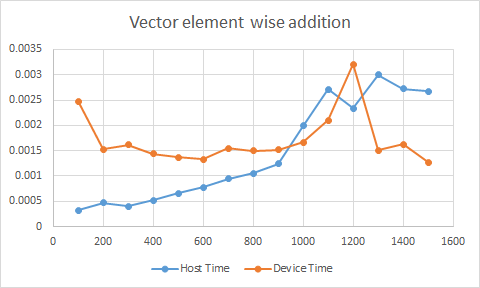
Measurements were taken a certain number of times for each time measuring experiments and averaged to converge the error in the measurements. The attributes values were changed and each function experimented likewise above and similarly for the execution times in CPU. All these processes were automated and results were copied into excel sheets.

1. **Vector addition**

|  |  |  |
| --- | --- | --- |
| **Number of Elements** | **Host Time** | **Device Time** |
| **100** | **0.00032395** | **0.00247511** |
| 200 | 0.00047535 | 0.00152941 |
| -- | -- | -- |
| 800 | 0.00105543 | 0.00149808 |
| 900 | 0.00123664 | 0.00152057 |
| 1000 | 0.00199642 | 0.00166710 |
| 1100 | 0.00270865 | 0.00209979 |
| 1200 | 0.00233752 | 0.00320343 |
| 1300 | 0.00300046 | 0.00151011 |

*Table 7.1: Vector addition execution time*

The value that has been highlighted in the table is meant to be the standard value for the computational model, vector addition. The same size (100) of a vector addition computation will be executed periodically (once an hour) on both CPU and the GPU. The time elapsed for the computation will be compared with the value that exists in the table to calculate the relative, dynamic benchmarks for the computational model. It will help the library to make decisions independent of hardware and occupancy level of the system. For example, the benchmark for the vector addition in a system that gets the above values for the standard computation execution will be, 950 as per the graph (*Diagram 7.1*) shown below. For the period, functions called with the argument value less than 950 will return true (CPU) and false (GPU) otherwise.



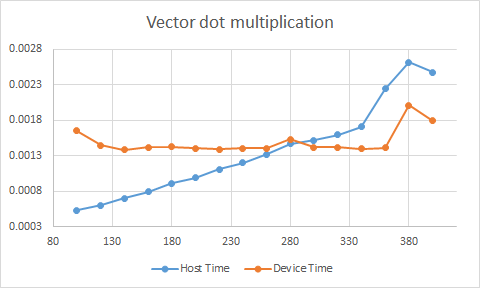
*Graph 7.1: Vector addition execution time*

The graph shows the benchmark for array size as 950 for vector addition. The CPU time always shows a trend of increasing while GPU time is not changing or decreasing. Therefore there will not be another point where the CPU execution time is less than the GPU time. However, the benchmark varies with some factors as discussed in section 5 and solved with the alpha and beta coefficients.

1. **Vector dot-multiplication**

|  |  |  |
| --- | --- | --- |
| **Vector Size** | **Host Time** | **Device Time** |
| **100** | **0.00052991** | **0.0016508** |
| 120 | 0.00060279 | 0.00144538 |
| 240 | 0.00120232 | 0.00140586 |
| 260 | 0.00132297 | 0.00140383 |
| 280 | 0.00146732 | 0.00153183 |
| 300 | 0.00151745 | 0.00142245 |
| 320 | 0.00159642 | 0.00142043 |
| 340 | 0.00170831 | 0.00139689 |
| 360 | 0.00224578 | 0.00141597 |

*Table 7.2: Vector dot-multiplication time*

****

*Graph 7.2: Vector dot-multiplication execution time*

# **Progress in Timeline**

|  |  |  |
| --- | --- | --- |
| **Month** | **Task breakdown** | **Status** |
| January | * Read and understand the context of the research topic | Completed |
| February | * Go through previous arts and create Bibliography * Go deep and Analyze selected previous art | Completed |
| March | * Narrow down and define the Problem and Motivation * Define and narrow the scope and the contribution * Choose a methodology for the experiment * Define appropriate measurements for the experiment * Proposal and Proposal Presentation Preparation | Completed |
| April | * Extracting features * Prioritizing features * Set the features into classes | Completed  In progress  In progress |
| May | * Select and specify the functions * Implement related algorithm | Completed |
| June | * Measuring the impacts of the features * Create models and design of the Library | In progress |
| July | * Implement and code the Library | In progress |
| August | * Prepare for mid evaluation | Completed |

# **Things to do**

* Experiment the functions that have been found out while different workloads in a system and determine the relationship between the dynamic and static benchmarks (alpha).
* Find a solution to retrieve occupancy levels of CPU and GPU in C++ from different operating systems and relate them to the benchmarks, how they vary with the levels (beta).
* Complete implementation of the library. Design part has been completed almost but implementation is still far away from its completion.
* Library Evaluation: experiment performance of the functions on some machines under various conditions and test performance.
* Explore more functions, analyze their benchmarks and relationship between the benchmarks and performance level of the system if time permits.

# **Conclusion**

The research is to analyze processing problems in relation with their properties provided by programmers to predict whether the problem should be executed in the CPU or GPU in order to reduce the computational time. The outcome of the research will be a framework that contains a set of functions to be used by programmers. The functions in the framework make decisions based on the benchmarks stored in the disk not directly but with the relative dynamic benchmarks calculated periodically from the static benchmarks being attached with the library. It is expected to consider the present workload in the system from the OS at the moment when the decision is made to prevent overwhelming either processor. This research is considering a few simple computations to narrow the scope. However, this solution can be scaled up and adopted for many other computational models when it comes to production. But we believe that this research area has a wide scope and several things to be explored and they will be carried out by fellow researchers. This research will help to push the heterogeneous computings into another dimension and provide a boost in the GPGPU to a great extent.

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